

05-01-01

PTO/SB/05 (4/98)

Please type a plus (+) sign in this box ☐

Approved for use through 09/30/2000. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

Attorney Docket No. S01.12-0721

First Inventor or Application Identifier Ladislav R. Pust

Title THERMAL COMPENSATION FOR HEAD  
PROTRUSION IN A MAGNETIC DRIVE

Express Mail Label No. EL844343108US

(Only for new non-provisional applications under 37 C.F.R. § 1.53(B))

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

Address To:

Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

1. ☒ \*Fee Transmittal Form e.g., PTO/SB17)  
(Submit an original and a duplicate for fee processing)
2. ☒ Specification [Total Sheets **21**]  
(preferred arrangement set forth below)  
- Descriptive title of the Invention)  
- Cross References to Related Applications  
- Statement Regarding Fed sponsored R & D  
- Reference to Microfiche Appendix  
- Background of the Invention  
- Brief Summary of the Invention  
- Brief Description of the Drawings (if filed)  
- Detailed Description  
- Claim(s)  
- Abstract of the Disclosure
3. ☒ Drawing(s) (35 U.S.C. § 113) [Total Sheets **8**]
4. Oath or Declaration [Total Sheets **3**]  
a. ☒ Newly executed (original or copy)  
b. ☐ Copy from a prior application (37 C.F.R. § 1.63(d))  
(for continuation/divisional with Box 16 completed)  
i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting  
inventor(s) named in the prior application,  
see 37 C.F.R. §§1.63(d)(2) and 1.33(b).

5. ☐ Microfiche Computer Program (Appendix)
6. ☐ Nucleotide and/or Amino Acid Sequence Submission  
(If applicable, all necessary)  
a. ☐ Computer Readable Copy  
b. ☐ Paper Copy (Identical to computer copy)  
c. ☐ Statement verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

7. ☒ Assignment Papers (cover sheet & document(s))
8. ☐ 37 C.F.R. § 3.73(b) Statement (when there is an assignee) ☐ Power of Attorney
9. ☐ English Translation Document
10. ☒ Information Disclosure ☐ Copies of IDS  
Statement and references (IDS/PTO - PTO)
11. ☐ Preliminary Amendment
12. ☒ Return Receipt Postcard (MPEP 503)
13. ☐ \*Small Entity ☐ Statement filed in prior application.  
Statement(s) Status still proper and desired  
(PTO/SB/09-12)
14. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
15. ☒ Request and Cert. Under 35 USC 122 (Non-Pub)
16. ☒ Other: Our checks in the amounts of \$710.00 and \$40.00

\* NOTE FOR ITEMS 1 & 13: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY  
FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT  
IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28).

16. If a **CONTINUING APPLICATION**, check appropriate box, and supply the requisite information below and in a preliminary amendment.

☐ Continuation ☐ Divisional ☐ Continuation - in part (CIP) of prior application No: \_\_\_\_\_

Prior application information:

Examiner \_\_\_\_\_

Group/Art Unit: \_\_\_\_\_

**FOR CONTINUATION or DIVISIONAL APPS only:** The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**17. CORRESPONDENCE**

☐ Customer Number or Bar Code Label (Insert Customer No. or Attach bar code label here) or ☒ Correspondence address below

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Name (Print/type)	David C. Bohn	Registration No. (Attorney/Agent)	32.015
Signature	<i>David C. Bohn</i>	Date	4/30/01

<b>FEE TRANSMITTAL</b>		<i>Complete if Known</i>																																																																																																	
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1. <input checked="" type="checkbox"/> The Director is hereby authorized to charge any additional fee required under 37 C.F.R. § 1.16 and 1.17, including any petition fee, and credit any over payments to Deposit Account No. <u>23-1123</u> . Westman, Champlin & Kelly, P.A.  2. <input checked="" type="checkbox"/> Check Enclosed		<b>3. ADDITIONAL FEES</b>  <table style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="text-align: left;">Large Entity Fee</th> <th style="text-align: left;">Small Entity Fee</th> <th style="text-align: left;">Fee Description</th> <th style="text-align: right;">Fee Paid</th> </tr> <tr> <th>Code</th> <th>(\$)</th> <th></th> <th></th> </tr> </thead> <tbody> <tr><td>105</td><td>130</td><td>205 65 Surcharge - Late filing fee or oath</td><td></td></tr> <tr><td>127</td><td>50</td><td>227 25 Surcharge - Late provisional Filing Fee or cover sheet</td><td></td></tr> <tr><td>139</td><td>130</td><td>139 130 Non-English specification</td><td></td></tr> <tr><td>147</td><td>2,520</td><td>147 2,520 For Filing a Request for Reexamination</td><td></td></tr> <tr><td>115</td><td>110</td><td>215 55 Extension for reply within first month</td><td></td></tr> <tr><td>116</td><td>390</td><td>216 195 Extension for reply within second month</td><td></td></tr> <tr><td>117</td><td>890</td><td>217 445 Extension for reply within third month</td><td></td></tr> <tr><td>118</td><td>1,390</td><td>218 695 Extension for reply within fourth month</td><td></td></tr> <tr><td>128</td><td>1,890</td><td>280 945 Extension for reply within fifth month</td><td></td></tr> <tr><td>120</td><td>310</td><td>220 155 Filing a brief in support of an appeal</td><td></td></tr> <tr><td>121</td><td>270</td><td>221 135 Request for oral hearing</td><td></td></tr> <tr><td>148</td><td>110</td><td>248 55 Terminal Disclaimer Fee</td><td></td></tr> <tr><td>140</td><td>110</td><td>240 55 Petition to Revive - unavoidable</td><td></td></tr> <tr><td>141</td><td>1,240</td><td>241 620 Petition to Revive - unintentional</td><td></td></tr> <tr><td>142</td><td>1,270</td><td>242 650 Utility/Reissue issue fee (inc. advance copies)</td><td></td></tr> <tr><td>143</td><td>470</td><td>243 250 Design issue fee (inc. advance copies)</td><td></td></tr> <tr><td>122</td><td>130</td><td>122 130 Petitions to the Commissioner</td><td></td></tr> <tr><td>123</td><td>50</td><td>123 50 Petitions related to provisional applications</td><td></td></tr> <tr><td>126</td><td>180</td><td>126 180 Submission of Information Disclosure Statement</td><td></td></tr> <tr><td>581</td><td>40</td><td>581 40 Recording each patent assignment per property (times number of properties)</td><td></td></tr> <tr><td colspan="4">Other Fee (specify) _____</td></tr> <tr> <td colspan="2" style="text-align: right;"><b>Subtotal (1) \$ 710</b></td> <td colspan="2" style="text-align: right;"><b>Subtotal (3) \$</b></td> </tr> </tbody> </table>		Large Entity Fee	Small Entity Fee	Fee Description	Fee Paid	Code	(\$)			105	130	205 65 Surcharge - Late filing fee or oath		127	50	227 25 Surcharge - Late provisional Filing Fee or cover sheet		139	130	139 130 Non-English specification		147	2,520	147 2,520 For Filing a Request for Reexamination		115	110	215 55 Extension for reply within first month		116	390	216 195 Extension for reply within second month		117	890	217 445 Extension for reply within third month		118	1,390	218 695 Extension for reply within fourth month		128	1,890	280 945 Extension for reply within fifth month		120	310	220 155 Filing a brief in support of an appeal		121	270	221 135 Request for oral hearing		148	110	248 55 Terminal Disclaimer Fee		140	110	240 55 Petition to Revive - unavoidable		141	1,240	241 620 Petition to Revive - unintentional		142	1,270	242 650 Utility/Reissue issue fee (inc. advance copies)		143	470	243 250 Design issue fee (inc. advance copies)		122	130	122 130 Petitions to the Commissioner		123	50	123 50 Petitions related to provisional applications		126	180	126 180 Submission of Information Disclosure Statement		581	40	581 40 Recording each patent assignment per property (times number of properties)		Other Fee (specify) _____				<b>Subtotal (1) \$ 710</b>		<b>Subtotal (3) \$</b>	
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Signature

(David C. Bohn)

Reg. No. 32,015

Date

4/30/01Deposit Account No. 23-1123

**REQUEST AND  
CERTIFICATION UNDER  
35 U.S.C. 122(b)(2)(B)(i)**

First Named Inventor	Ladislav R. Pust
Title	THERMAL COMPENSATION FOR HEAD PROTRUSION IN A MAGNETIC DRIVE
Atty Docket Number	S01.12-0721

I hereby certify that the invention disclosed in the attached application **has not and will not** be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

4/30/2001

Date



Signature

David C. Bohn

Typed or printed name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**